

# National Integrity Capability Enhancement Program

2023/24 Program

# **Table of Contents**

1.	OVERVIEW	4
2.	IMPORTANT DATES	4
3.	ELIGIBILITY	4
4.	GRANT FUNDING OPPORTUNITY	4
4.1	Stream One	5
4.2	Stream Two	5
5.	HOW TO APPLY	5
5.1	Stream One	5
5.2	Stream Two	5
5.3	General application information	6
6.	APPLICATION ASSESSMENT AND APPROVAL	6
6.1	Selection Criteria	6
Stre	am One Assessment	6
Stre	am Two Assessment	6
1.	Integrity risk factors of the sport	7
2.	Integrity capability of the organisation	7
6.2	Grant Approver	7
7.	NOTIFICATION	7
8.	SUCCESSFUL APPLICANTS	7
8.1	Funding agreement	7
8.2	Payment and tax obligations	8
8.3	Program evaluation	8
8.4	Monitoring and compliance	8
8.5	Annual report	8
8.6	Ad-hoc report	8
8.7	Variations	8
8.8	Organisation details	9
9.	CONFLICT OF INTEREST	9
10.	HOW THE ASC WILL USE YOUR INFORMATION	9
10.1	Treatment of confidential information	9

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		3 of 12
10.2	When we may disclose confidential information	10
10.3	Personal information	10
10.4	Reporting	10
10.5	Freedom of information	10
11	ENQUIRIES AND FEEDBACK	11



#### 1. Overview

The National Integrity Capability Enhancement Program (the Program) is aimed at supporting National Sporting Organisations (NSOs) and National Sporting Organisations for People with Disability (NSODs), recognised by the Australian Sports Commission (ASC), to increase their sports integrity capability by embedding the National Integrity Framework (the Framework) at all levels of their sport. This will be facilitated through the provision of funding to high-priority NSO/NSODs to support the capability of the NSO/NSODs and their National Integrity Managers.

The ASC will administer the Program, in consultation with Sport Integrity Australia (**SIA**), to provide support to NSO/NSODs to enhance integrity capabilities and implement and embed the National Integrity Framework. SIA will also provide support to NSO/NSODs through the Integrity Manager Network, along with a suite of resources including policy fact sheets, eLearning courses, staff information packs, training courses and promotional materials.

The Program is available to support those NSO/NSODs funded through the 2022-23 National Integrity Manager grant program, and is also open for additional NSO/NSODs to apply to receive funding.

# 2. Important Dates

The timing for this grant opportunity is:

Activity	Key Date
Applications open	2 June 2023
Applications close	3pm (AEST) 15 June 2023
Notification of outcomes and funding announcements	23 June 2023

# 3. Eligibility

To be eligible to be considered for funding under the Program, an organisation must:

- be a NSO/NSOD recognised by the ASC (for a list of recognised organisations, please visit the ASC Australian Sports Directory);
- have adopted or committed to adopt the National Integrity Framework issued by SIA; and
- not be an organisation that is named by the National Redress Scheme for Institutional Child Sexual Abuse on its list of institutions that have not joined or signified their intent to join the Scheme (www.nationalredress.gov.au).

# 4. Grant Funding Opportunity

Funding is available to enhance NSO/NSODs' integrity capability and will be managed via two streams.

NSO/NSODs will be expected to enhance their integrity capability to assist with the adoption and implementation of the Framework. NSO/NSODs are also expected to ensure the role of a National Integrity Manager is established or maintained within their organisation, with responsibility for implementing the Framework and educating participants at all levels on the Framework policies.



#### 4.1 Stream One

Eligible NSO/NSODs funded through the National Integrity Manager grant program in 2022-23 may apply to receive funding in this stream. Existing arrangements between NSO/NSODs that share an Integrity Manager will continue unless the NSO/NSODs can demonstrate the need to vary the arrangement. Funding will be provided to further enhance the integrity capability within these organisations for the 2023/24 period with maximum grants available of \$120,000 (excl GST). A funding pool of approximately \$1.67 million is available for this stream of funding.

#### 4.2 Stream Two

Eligible NSO/NSODs not previously funded through the 2022-23 National Integrity Manager grant program may apply to receive funding in this stream. Eligible organisations may apply for funding up to a maximum of \$120,000 (excl GST) to be used to assist in building the NSO/NSOD's integrity capability and implementation of the National Integrity Framework. A funding pool of \$0.33 million is available for this stream.

# 5. How to apply

#### 5.1 Stream One

Only organisations funded by the 2022-23 National Integrity Manager program may apply for funding through this stream. Only <u>one</u> application per organisation is permitted.

Applications must be submitted online during the funding application period. No late application submissions will be eligible after the application closing time.

Organisations applying for this stream must:

- submit an online application form via the SmartyGrants link provided by the ASC
- provide all the information the ASC requires to assess your application; and
- consider all eligibility and selection criteria, ensuring you have addressed relevant criteria.

Where two or more NSO/NSODs have been granted funding through the 2022-23 National Integrity Manager program via a joint arrangement, a single application should be submitted on behalf of the group by the lead organisation. All NSO/NSODs involved in the sharing of a resource are expected to collaborate on their application for funding.

#### 5.2 Stream Two

Only organisations <u>not</u> funded by the 2022-23 National Integrity Manager program may apply for funding through this stream. Only one application per organisation is permitted.

Applications must be submitted online during the funding application period. No late application submissions will be eligible after the application closing time.

Organisations applying for this stream must:

- submit an online application form via the SmartyGrants link the ASC provided to eligible organisations
- provide all the information the ASC requires to assess your application
- consider all eligibility and selection criteria, ensuring you have addressed relevant criteria; and
- commit to increasing the integrity capability of your sport.



#### 5.3 General application information

Please ensure your application is complete and accurate. Giving false or misleading information is a serious offence under the *Criminal Code 1995* (Cth). We will investigate false or misleading information and may not consider your application for the grant.

If you find an error in your application after submitting it, you should notify the ASC immediately at <a href="mailto:funding@ausport.gov.au.">funding@ausport.gov.au.</a>. The ASC does not have to accept any additional information or requests from you to amend your application after the application closing time.

If you have any technical difficulties using the system, either with attaching documents or submitting the application, you must contact the ASC immediately at <a href="mailto:funding@ausport.gov.au">funding@ausport.gov.au</a>. No allowances will be made for technical issues arising in attempting to submit an application where the issue has not been reported prior to the application closing time.

If you need further guidance in the application process, are unable to submit an online application or wish to withdraw a submitted application, you can contact the ASC via email <a href="mailto:funding@ausport.gov.au.">funding@ausport.gov.au.</a>

# 6. Application assessment and approval

All applications will initially be assessed by the ASC against the eligibility criteria. All eligible applications will proceed to be assessed against the respective selection criteria for each stream.

Applications will be assessed on a competitive basis.

During the assessment process, the ASC may require further information about your organisation or application and may seek to source this information from applicants or any external sources.

Officers from the ASC, in consultation with officers from SIA, will assess the eligible applications and provide recommendations to the Grant Approver.

The ASC reserves the right to recommend and approve grant amounts that may differ from the amounts requested in applications.

#### 6.1 Selection Criteria

#### Stream One Assessment

Eligible applications for Stream One funding will be assessed competitively against the following selection criteria:

- the NSO/NSOD's compliance and timeliness in meeting reporting and other obligations relating to any previous funding by the ASC
- the extent to which the NSO/NSOD has made a demonstrable increase in integrity capability and outputs in 2022-23
- the extent to which the NSO/NSOD continues to have the capability and intent to implement the National Integrity Framework. NSO/NSODs assessed as having dedicated integrity resource(s) that demonstrate the intent for this resource(s) to continue throughout 2023-24 to provide capability enhancement will be prioritised with respect to this criterion; and
- the extent to which the NSO/NSOD demonstrates its intent to utilise the grant to increase their integrity capability during the 2023-24 financial year.

#### Stream Two Assessment

Eligible applications for Stream Two funding will be assessed competitively against the following selection criteria:

- the integrity risk factors of the NSO/NSOD's sport
- the integrity capability of the NSO/NSOD; and



 the NOS/NSOD's compliance and timeliness in meeting reporting and other obligations relating to any previous funding by the ASC.

NSO/NSODs assessed as having a higher integrity risk rating for their sport and lower integrity capability of the organisation will be prioritised for funding, subject to the third selection criterion above.

#### 1. Integrity risk factors of the sport

For the purposes of the first selection criterion, the assessors will undertake a sport integrity risk assessment for a sport based on the following risk factors:

- demographic/participant profile of the sport
- whether the sport is an individual or team sport
- the history/culture of illegal drug use in the sport
- the history/culture of abuse in the sport; and
- wagering interest in the sport.

#### 2. Integrity capability of the organisation

For the purposes of the second selection criterion, the assessors will consider:

- the NSO/NSOD's current integrity resourcing and capacity to implement and embed the National Integrity Framework;
- the NSO/NSOD's proposed utilisation of the grant to increase the integrity capability of the NSO/NSOD; and
- The NSO/NSOD's proposed plans to maintain their integrity capability in the organisation beyond the funding period.

# 6.2 Grant Approver

The Executive General Manager, Sport and Community Capability of the ASC is the Grant Approver and will make the final decision as to grant recipients and funding amounts after considering the assessors' recommendations and the availability of grant funds for the Stream and the Program.

#### 7. Notification

All applicants will be notified in writing of the outcome of their grant application.

The Grant Approver's decision is final in all matters, including the:

- approval of the grant
- grant amount to be awarded, and
- terms and conditions of funding.

There is no review or appeals process after the Grant Approver's final decision.

# 8. Successful applicants

# 8.1 Funding agreement

Successful applicants will be required to enter an NSO/NSOD Grant Agreement with the ASC, or a variation to their current NSO/NSOD Grant Agreement with the ASC, by 30 June 2023. If a successful applicant is unable to execute an NSO/NSOD Grant Agreement or variation to the NSO/NSOD Grant Agreement by this date, the funding may not be provided.



Funding received is to be acquitted via the ASC's annual grant acquittal process. Approval of the funding is based on the information provided in your application. Any changes to details requires approval by the ASC.

# 8.2 Payment and tax obligations

The NSO/NSOD Grant Agreement or variation will state the maximum funding amount payable to the NSO/NSOD in relation to the Program grant, and the ASC will not exceed the maximum funding amount under any circumstances. Any extra costs incurred will be the responsibility of the NSO/NSOD.

The ASC will transfer all grant funding electronically in accordance with the payment arrangements set out in the NSO/NSOD Grant Agreement. If your organisation is GST-registered, where applicable, you will be paid the approved grant amount plus GST.

#### 8.3 Program evaluation

The funded NSO/NSOD may be required to complete an evaluation of the NSO/NSOD's funded program against the Program's objectives and outcomes. The ASC may use information from applications and program reports for whole of Program evaluation. The ASC may also conduct interviews, or request information about the grant's impact, to evaluate the Program's effectiveness in achieving its objectives. The ASC may contact a NSO/NSOD after the funded program is completed to assist with this evaluation.

#### 8.4 Monitoring and compliance

Funded NSO/NSODs are required to submit progress reports in line with the NSO/NSOD Grant Agreement. The ASC in consultation with SIA will monitor funded program progress by working closely with successful organisations and through assessing submitted reports. If you become aware of a breach of terms and conditions under the NSO/NSOD Grant Agreement, the ASC must be contacted immediately.

# 8.5 Annual report

Annual reporting requirements will be outlined in the NSO/NSOD Grant Agreement. These requirements may include:

- an evaluation of the completed funded program, including the outcomes achieved
- providing evidence as specified in the NSO/NSOD Grant Agreement
- detailing total eligible expenditure incurred for the funded program
- acquittal of the grant amount and expenditure against deliverables
- submission within four weeks of completing the funded program.

# 8.6 Ad-hoc report

Ad-hoc reports may be required for the funded program. This may include reports to confirm progress, or to explain any significant delays or difficulties in completing the funded program.

#### 8.7 Variations

The ASC understands that unexpected events may delay a funded program's progress. In these circumstances, NSO/NSODs, or the ASC can request a funded program variation. Any request must be made before the end date of the NSO/NSOD Grant Agreement and should include details of:

- extended timeframe for completion, and



- any changed funded program activities.

There is no guarantee that a request for a funded program variation will be successful. In considering a request for variation to the NSO/NSOD Grant Agreement, the ASC will consider your request based on the provisions of the NSO/NSOD Grant Agreement and the likely impact on the funded program outcomes.

#### 8.8 Organisation details

You must inform the ASC of any key changes to your organisation or its business activities, particularly if they affect the ability to complete the funded program, carry on business and pay debts due. This includes the following changes:

- names
- addresses
- nominated contact details; and
- bank account details.

#### 9. Conflict of interest

Any conflicts of interest could affect the performance of the Program. There may be a conflict of interest, or perceived conflict of interest, if ASC or SIA staff, a committee or advisor, and/or you or any of your personnel, for example:

- has a professional, commercial or personal relationship with a party who is able to influence the application selection process, such as an ASC or SIA officer, or a member of an external panel
- has a relationship with, or interest in, an organisation which is likely to interfere with or restrict the
  applicants from carrying out the proposed activities fairly and independently; or
- has a relationship with, or interest in, an organisation from which they will receive personal gain because the organisation receives a grant under the Program.

You will be asked to declare, as part of your application, any perceived or existing conflicts of interests or that, to the best of your knowledge, there is no conflict of interest. If you later identify an actual, apparent, or potential conflict of interest, the ASC must be informed in writing immediately.

Conflicts of interest for ASC and SIA staff will be managed in accordance with each agency's Conflict of Interest Policy.

# 10. How the ASC will use your information

The ASC may share your information with SIA. The ASC may also share your information with other government agencies for a relevant Australian Government purpose such as:

- to improve the effective administration, monitoring and evaluation of Australian Government programs
- for research; or
- to report or announce grant recipients.

#### 10.1 Treatment of confidential information

The ASC will treat the information provided by applicants as confidential if it meets all of the three conditions below:

- is clearly identified as confidential with an explanation as to why it should be treated as confidential
- the information is commercially sensitive; and



disclosing the information would cause unreasonable harm to you or someone else.

#### 10.2 When we may disclose confidential information

The ASC may disclose confidential information to:

- the ASC Board, employees and contractors and SIA employees and contractors (and other Commonwealth employees and contractors as required) to assess your application, manage the Program or to research, assess, monitor and analyse our programs and activities
- the Minister for Sport
- the Auditor-General, Ombudsman or Privacy Commissioner; and
- a House or Committee of the Australian Parliament.

The ASC may also disclose confidential information if required or authorised by law, or if someone other than the ASC has made the confidential information public.

#### 10.3 Personal information

The ASC must treat your personal information according to the Australian Privacy Principles (APPs) and the *Privacy Act 1988* (Cth). This includes informing you:

- what personal information the ASC collects
- why the ASC collects your personal information; and
- to whom the ASC may give your personal information.

The details of the ASC's privacy policy can be found at:

https://www.sportaus.gov.au/legal\_information/privacy\_policy

The ASC may give personal information collected, to the ASC Board, employees and contractors, SIA employees and contractors, and other Commonwealth employees and contractors as required, so we can:

- assess your application
- manage the Program; and
- research, assess, monitor and analyse our programs and activities.

The ASC may also:

- announce the names of successful applicants to the public; and
- publish personal information on the ASC website.

# 10.4 Reporting

Effective disclosure and reporting of administered grants is essential for public accountability. The ASC publishes grant recipients and funding details on its website.

#### 10.5 Freedom of information

All documents in the possession of the Australian Government and its entities, including those about this grant opportunity, are subject to the *Freedom of Information Act 1982* (Cth) (**FOI Act**).

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government and its entities. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and



private and business affairs of persons in respect of whom the information relates.

All FOI requests must be referred to the ASC FOI Officer: foi.officer@ausport.gov.au.

# 11. Enquiries and feedback

For further information or clarification on the application and assessment process, including your application status and outcome, you can contact <a href="mailto:funding@ausport.gov.au">funding@ausport.gov.au</a> or through our website <a href="mailto:www.ausport.gov.au">www.ausport.gov.au</a>.

We may publish answers to your questions on our website as Frequently Asked Questions.

Any complaints can be lodged using the ASC complaints form on our website <a href="https://www.sportaus.gov.au/complaints">www.sportaus.gov.au/complaints</a>.





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